KGI CHINA DEVELOPMENT FINANCIAL

Print Form (for submission)
Print Form (for filling)
Reset Form

41/F Central Plaza,
18 Harbour Road,
Wanchai,
Hong Kong

香港灣仔港灣道十八號
中環廣場四十一樓

D

114-03-2110

Standing Authority by General Clients - Fund Transfers between KGI Affiliates

To	✔ KGI Asia Limited ("KGIA") ✔ KGI Futures (Hong Kong) Limited ("KGIF")	Date (dd/mm/yy)	

• Account Information

∗ Account Number	
∗ Account Name (CAPITAL LETTERS)	

Instructions:
1. Please mail the original form to **Operations Department** at the address above or submit it to one of our branches. Please note that fax copy, scan copy or photocopies are not accepted.

• Instruction Details

I/We hereby authorize each of KGIA, KGIF and your respective associated entities[1] (if any) for a period **commencing from the date of your approval to this authority up to and including the last day of the eleventh (11th) month next after the date of such approval** to pay and transfer money from time to time received or held on my/our behalf for credit into any of my/our accounts with any of you or your associated entities for the following purposes: -

a) to meet or reduce my/our obligations to meet settlement or margin requirements in respect of dealing in futures and/or options contracts carried out by KGIF or dealing in securities carried out by KGIA on my/our behalf;

b) to pay money that I/we owe to i) KGIA and/or KGIF in respect of the carrying on by them of any regulated activity[2] for which they are licensed; or ii) any associated entity of KGIA or KGIF in respect of the receipt or holding of money for or on my/our behalf by such associated entity; and/or

c) to hold such money for me/us or on my/our behalf.

I/We agree to pay all handling fees and bank charges in connection with the above fund transfer(s). Without prejudice to any other rights available to you or your associated entities, you and your associated entities shall have the absolute discretion to refuse to act upon or reject this authority either generally or in any particular case without any liability to me/us or assigning any reason therefor.

This authority may be renewed or shall be deemed to have been renewed in accordance with section 8(3) of the Securities and Futures (Client Money) Rules but may be revoked by me/us by giving to both of you at least 5 business days' prior written notice of revocation.

1. As defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance
2. As defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance

Authorized Signature(s)

X
(All account holders of joint account must sign jointly.)

S.V.

Signatory Name(s)	

FOR OFFICE USE ONLY

Signed by IR/CSO:	Signed by SM:	Updated by OPP:	Checked by OPA:
Name: Date:	Name: Date:	Name: Date:	Name: Date:

∗ Mandatory Fields

KGI CHINA DEVELOPMENT FINANCIAL

Print Form (for submission)
Print Form (for filling)
Reset Form

41/F Central Plaza,
18 Harbour Road,
Wanchai,
Hong Kong

香港灣仔港灣道十八號
中環廣場四十一樓

D

114-03-2110

一般客户常設授權書 - 凱基聯屬人之間的資金轉帳

致	✔ 凱基證券亞洲有限公司 ("凱基亞洲") ✔ 凱基期貨(香港)有限公司（"凱基期貨")	日期（日/月/年）	

● 帳戶資料

* 帳戶號碼	
* 帳戶名稱 (大寫英文字母)	

指示：
1. 請將本表格之正本郵寄至以上地址並註明營運部收啟或親臨我們的分行遞交。請注意我們並不接受傳真、掃描或影印副本。
2. 中、英文版如有歧異，概以英文版為準。

● 指示詳情

我/我們授權凱基亞洲、凱基期貨及你們各別的有聯繫實體[1]（如有）可於你們批准本授權書當天開始直至及包括隨後第十一個月份的最後一天的期間內，將不時代我/我們收取或持有的款項支付及轉帳予任何我/我們於你們或你們的有聯繫實體所持有的戶口以用作以下用途：-

1) 用於履行或減低我/我們須就凱基期貨代我/我們進行的期貨及/或期權合約交易或就凱基亞洲代我/我們進行的證券交易遵從關於交收或保證金的規定的義務；

2) 用以支付 i) 我/我們就凱基亞洲及凱基期貨進行其獲發牌進行的任何受規管活動[2]而欠凱基亞洲及/或凱基期貨的款項；或 ii) 我/我們就凱基亞洲或凱基期貨的有聯繫實體為我/我們或代我/我們收取或持有款項而欠該有聯繫實體的款項；及/或

3) 代我/我們或為我/我們持有該款項。

我/我們同意支付所有與上述付款及轉帳的安排有關的手續費及銀行費用。在不損你們或你們的有聯繫實體的任何其他權利的條件下，你們或你們的有聯繫實體有絕對的酌情權就一般或個別情況下拒絕根據本授權書行事或拒絕授受本授權書，而不需要向我/我們負上任何責任或作出任何解釋。

本授權書可根據《證券及期貨（客戶款項）規則》第8(3)條的規定下予以續期或被當作已續期，惟我/我們可向你們發出不少於5個工作天之事先書面通知以撤銷本授權書。

---

1. 按《證券及期貨條例》附表1第1部之定義
2. 按《證券及期貨條例》附表1第1部之定義

客戶簽署

S.V.

簽署人姓名	

X
(所有聯名客戶必須簽署)

FOR OFFICE USE ONLY

營業代表/客戶服務主任簽署:	主管簽署:	營運部更新:	營運部核對:
姓名: 日期:	姓名: 日期:	姓名: 日期:	姓名: 日期:

* 此欄必須填寫