

Change of Investment and Financial Information 更改投資及財務資料

*To 致	<input type="checkbox"/> KGI Asia Limited 凱基證券亞洲有限公司	<input type="checkbox"/> KGI Futures (Hong Kong) Limited 凱基期貨(香港)有限公司	*Date (dd/mm/yy) 日期 (日/月/年)
--------------	---------------------------------------------------------	--------------------------------------------------------------------------	---------------------------------------

Account Information

*Account No. 帳戶號碼	
*Account Name 帳戶名稱	
(CAPITAL 大寫)	

Instructions 指示:
 1. Please fax or e-mail this form to the fax no. or e-mail address below. Requests made after the cut-off time (i.e. 16:30 HK Time on a working day) or on a Saturday, Sunday and public holiday will be processed on the next working day.
 請將本表格傳真至以下傳真號碼或電郵至以下電郵地址。在截數時間(即香港工作天時間 16:30)後或是星期六、日及公眾假期遞交的客戶指示將於隨後的一個工作天辦理。
Fax 傳真: (852) 2878-4932 Email 電郵: ops.service@kgi.com
 2. Your request will normally be processed within 3 working days after our receipt of this duly completed and signed.
 本行將於收到閣下已填妥並簽署的此申請表後三個工作天內處理閣下的申請。

Instruction Details 指示詳情

Instruction for Joint Account 聯名帳戶之指示	Please apply the following change(s) to this Joint Client: 以下更改資料適用於此聯名客戶:	Name 姓名
------------------------------------------	-------------------------------------------------------------------------------	---------

● Your financial information 客戶財務資料:

- (a) Annual income (HKD) 每年收入(港元)
- Under / 少於 \$100,000 \$100,000 - \$500,000
- \$500,001 - \$1,000,000 Above/多於 \$1,000,000 (please specify 請註明 _____)
- (b) Total net worth (HKD) 客戶資產淨值 (港元): _____
- (c) Source of funds/wealth 資金/財富來源
- Salary/Commission 薪金/佣金 Savings 儲蓄 Own Business 自營業務 Investment 投資
- Inheritance 遺產 Others 其他 (Please specify 請指明): _____
- (d) Do you own any property / real estate 客戶是否擁有任何資產 / 物業?
- No 沒有 Yes, details are 有, 詳情為: _____
- With financing 有借貸 Without financing 無借貸

● Your investment experience 客戶投資經驗:

Investment Type	HKD 港元	Years 年
HK listed securities 香港上市證券 (平均交易額)	HKD 港元	Years 年
Overseas listed securities (average trade size) 海外上市證券 (平均交易額)	HKD 港元	Years 年
HK futures and options (number of contracts and product(s)) 香港期貨及期權 (合約數量及產品)		Years 年
Overseas futures and options (number of contracts and product(s)) 海外期貨及期權 (合約數量及產品)		Years 年
Short selling of listed securities 沽空上市證券		Years 年

● Your investment objectives and risk tolerance 客戶投資目的及可承受風險:

- (a) Investment objectives 投資目的
- Income 收入 Hedging 對沖 Capital Gain 資本增值 Speculation 投機
- (b) Risk tolerance 可承受風險
- Low 低風險 Medium 中等風險 High 高風險

Securities Margin, Stock Options and Futures Account are Belongs to Medium and/or High categories.
 證券保證金、股票期權及期貨買賣帳戶屬於「中等風險」及/或「高風險」類別。

Authorized Signature(s) 客戶簽署

X
 ((For joint account, complete a separate form for each individual account holder.
 對於聯名賬戶，每名個人賬戶持有人須分別填寫一份表格。))

Signatories Name(s) 簽署人姓名	S.V
-------------------------------------	------------

FOR OFFICE USE ONLY 以下由本公司填寫

Confirmed by IR/CSO:	Special approved by AP:	Updated by OPP:	Checked by OPA:
Name: _____ Date: _____	Name: _____ Date: _____	Name: _____ Date: _____	Name: _____ Date: _____