

122-03-2110

Securities Transfer between Securities and Stock Options Account
證券及股票期權帳戶之證券轉移

To 致	<input type="checkbox"/> KGI Asia Limited 凱基證券亞洲有限公司	Date (dd/mm/yy) 日期 (日/月/年)	
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Account Information 帳戶資料

* Securities A/C#
股票帳戶號碼

* Secs A/C Name
股票帳戶名稱
(CAPITAL LETTERS
大寫字母)

Instructions 指示:

1. Please fax or email this form to the fax no. or e-mail address below before 12:30 HK time on a business day. Requests made after the cut-off time or on Saturday, Sunday and public holidays will be processed on the next business day. 請於工作天香港時間12:30 前將本表格傳真以下傳真號碼或電郵地址。在截數時間後或是在星期六、日及公眾假期遞交的客戶指示將在隨後的一個工作天辦理。

Fax 傳真: (852) 2878-4932 E-mail 電郵: ops.service@kgi.com

2. Settlement of this request may take place after the Effective Date where applicable. 此指令或會於生效日期之後的適當日期交收。
3. Where securities are to be transferred to a stock options account under this instruction, this instruction also serves as an authorization to KGI and its associated entit(ies) to deposit such securities with SEIOCH or another securities broker as collateral for the discharge and satisfaction of KGI's settlement obligations and liabilities. 如按照本指示股票將轉移至股票期權買賣帳戶, 本指示等同授權凱基證券及其有聯繫實體將該股票存放於期權結算公司或其他證券經紀作為解除凱基證券在交收上的義務和清償凱基證券在交收上的法律責任的抵押品。

Instruction Details 指示詳情

* Stock Options A/C# 股票期權帳戶號碼		* Value Date (dd/mm/yy) 生效日期 (日/月/年)	
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*** Securities Transfer Details 證券轉移詳情**

<input type="checkbox"/> From Securities Account to Stock Options Account. 從證券帳戶轉移到股票期權帳戶。		<input type="checkbox"/> From Stock Options Account to Securities Account. 從股票期權帳戶轉移到證券帳戶。		For Office Use Only OPP <input type="checkbox"/> BOS Input <input type="checkbox"/> CLR Input OPA <input type="checkbox"/> BOS Input <input type="checkbox"/> CLR Input
Securities Code 證券代號	Securities Name 證券名稱	Quantity 證券數量		

*** Assignment & Covering Details 被指定分配及備兌詳情**

<input type="checkbox"/> Options Assignment 期權被指定分配		<input type="checkbox"/> Cover Call Option 認購期權備兌安排		<input type="checkbox"/> Decover Call Option 取消認購期權備兌安排			For Office Use Only OPP <input type="checkbox"/> BOS Input <input type="checkbox"/> CLR Input OPA <input type="checkbox"/> BOS Input <input type="checkbox"/> CLR Input
Underlying Securities Code 證券代號	Securities Quantity 證券數量	Expiry Month (MM/YY) 到期月 (月 / 年)	Strike 行使價	Type 類型 (Call/Put)	Option Price 期權價格	Options Quantity 期權數量	

Authorized Signature(s)

獲授權代表簽署

X

(All account holders of joint account must sign jointly. 所有聯名客戶必須簽署)

S.V.

Signatory Name(s) 簽署人姓名	
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FOR OFFICE USE ONLY 以下由本公司填寫

Prepared By IR/CSO Name:	Approved By SM Name:	Credit Checked By RM1 Name:	Credit Checked By RM2 Name:	Processed By OPP Name:	Checked By OPA Name:
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* Mandatory Fields 此欄必需填寫