

**Form for opting out from receiving trade execution notifications**  
**選擇拒絕接收交易執行通知之表格**

\*To 致:

 KGI Asia Limited  
凱基證券亞洲有限公司 KGI Futures (Hong Kong) Limited  
凱基期貨(香港)有限公司

(hereinafter (collectively) referred to as "KGI") (以下(統)稱為「凱基」)

**Risk Disclosure and Disclaimer 風險披露及免責聲明**

I/We understand that as an additional measure to improve security of my/our trading on KGI's internet trading platform as well as for the purposes of facilitating timely prevention and detection of fraud, suspicious or unauthorized transactions/activities, potential malware, online threats, trading or system errors, KGI intends to send notifications of trade execution to me/us by emails, SMS or push notifications to my/our electronic devices as appropriate after internet trades have been entered under my/our account(s) with KGI.

本人/吾等明白，作為提高本人/吾等在凱基互聯網交易平台交易安全性的額外措施，以及為促進及時預防及偵測詐騙、可疑或未經授權的交易/活動、潛在惡意軟件、線上威脅、交易或系統錯誤，凱基擬在本人/吾等的凱基賬戶進行互聯網交易後，通過電郵、短訊或推送通知至本人/吾等的電子裝置（如適用），將交易執行通知發送給本人/吾等。

By opting out from receiving notifications of trade execution from KGI, the above benefits may be compromised and I/we will also be fully responsible and liable for all consequences arising from or in connection with opting out from receiving notifications of trade execution from KGI. I/We agree that all trades done on KGI's internet trading platform under my/our account(s) with KGI will be considered as authorized by me/us and I/we shall be fully responsible for them without KGI making any further inquiry as to the authority or identity of the person(s) entering into the trades or their authenticity notwithstanding any error, fraud, forgery or lack of authorization for their trading.

選擇拒絕接收凱基交易執行通知，上述好處可能會被妥協，及本人/吾等亦將全面負責並承擔因選擇拒絕接收凱基交易執行通知而導致或與之相關的所有後果。本人/吾等同意，本人/吾等的凱基賬戶在凱基的互聯網交易平台上進行的所有交易將被視為已獲本人/吾等授權，而本人/吾等將對其承擔全部責任，而凱基無需進行該等交易之人士之權限或身份或其真實性進行任何進一步查詢，無論彼等之交易是否有任何錯誤、欺詐、偽造或未獲授權。

I/We agree and confirm that my/our opting out from receiving all notifications of trade execution from KGI applies to the Account(s) listed below. I/We further understand and acknowledge that such opting out will take effect on the next trading day after KGI receives this form duly completed and signed by me/us.

本人/吾等同意及確認，本人/吾等選擇拒絕接收凱基之交易執行通知是適用於以下所列的帳戶。本人/吾等並明白及確認，此選擇將會於在凱基收到由本人/吾等妥善填寫及簽署之本表格後的下一個交易日生效。

I/We agree to opt out from receiving all notifications of trade execution in respect of the Account(s) below from KGI. 本人/吾等同意選擇拒絕接收凱基就以下帳戶之所有交易執行通知。

\_\_\_\_\_  
Authorized Signature(s) 客戶簽署

\_\_\_\_\_  
Date 日期

S.V.

Signatory Name(s)

\_\_\_\_\_  
Account Name  
帳戶名稱

\_\_\_\_\_  
Account Number  
帳戶號碼

\_\_\_\_\_  
Account Name  
帳戶名稱

\_\_\_\_\_  
Account Number  
帳戶號碼

\_\_\_\_\_  
Account Name  
帳戶名稱

\_\_\_\_\_  
Account Number  
帳戶號碼

**For Office Use Only**

Prepared by IR/CSO

Prepared by OPP

Checked by OPA

\* Mandatory Fields. Please choose one or both for each form and put a ✓ symbol in the chosen box(es).

\* 該欄必須填寫，請在每份表格選擇一項或雙項，並在所選定的方格內加上✓號。