

*Mandatory Fields 該欄必須填寫

112-01-1712

Change of Account Information Form 更改帳戶資料表格

*To 致	KGI Asia Limited 凱基證券亞洲有限公司	KGI Futures (Hong Kong) Limited 凱基期貨(香港)有限公司	* Date (dd/mm/yy) (日/月/年)
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Account Information

*Account No. 帳戶號碼		
*Account Name 帳戶名稱 (CAPITAL 大寫)		

Instructions 指示:

1. Please fax or email this form to the fax no. or e-mail address below before 11:00 HK time on a business day. Requests made after the cut-off time or on Saturday, Sunday and public holidays will be processed on the next business day. You can also mail this form to Operations Department at the address above or submit it to one of our branches. 請將本表格於香港工作天上午十一時正前傳真至以下傳真號碼或電郵至以下電郵地址。在截數時間後或是在星期六、日及公眾假期遞交的客戶指示將在隨後的一個工作天辦理。閣下亦可將本表格郵寄至以上地址並註明營運部收啟或親臨我們的分行遞交。

Fax 傳真: (852) 2878-4932, E-mail 電郵: ops.service@kgi.com

2. Your request will normally be processed within 3 working days after our receipt of this form duly completed and signed. 本行一般將於收到客戶已填妥並簽署的此申請表後三個工作天內處理客戶的申請。

Instruction Details 指示詳情

* Effective Date 生效日期		(dd/mm/yy 日/月/年)
Instruction for Joint Account 聯名帳戶之指示	Please apply the following change(s) to this Joint Client: 以下更改資料適用於此聯名客戶:	Name 姓名

Contact Information 聯絡資料

Instructions 指示:

3. In accordance with our company policy, customers changing any of their addresses on our record to an address in the USA will no longer be eligible to use our securities, derivatives and investment funds trading services. 根據本行的政策，若客戶將其在本行紀錄的任何地址更改為美國地址，則客戶不可繼續享用本行所提供的任何證券、衍生性金融產品及基金投資服務。

4. For residential address updates, the following types of addresses are not acceptable: 如更改住宅地址，恕不接受下列類別的地址：

- C/O Third party address 由第三者地址轉交
- C/O Company address 由某公司地址轉交
- Commercial address 商業地址
- P.O. Box 郵政信箱

Address Type 地址種類		Others 其它		Business 商業		Residential 住宅	
Address Details 地址							
	District 地區						
	Country 國家					Postal Code 郵遞編碼	
I/We would also like to change my/our correspondence address to the one stated above. 請將客戶的通訊地址一併更改為上述地址。							
Phone Number 電話號碼	Country Code 國家編碼	Area Code 地區編碼	Number 號碼				
Mobile Number 手提電話號碼	If you wish to register this or other mobile number to receive "2FA Access Information" (if applicable), please complete and submit "Electronic Services Application/Cancellation Form" separately. 如欲登記此或其他手提電話號碼作為接收「雙重認證資訊」(如適用)之用途，請另外填寫並提交「電子服務申請/取消表格」。						
	Country Code 國家編碼	Number 號碼					
Instructions 指示:							
5. If you have already registered eStatement Service and wish to update the registered email address, please complete this section. If you wish to newly apply for eStatement Service, please complete and submit "Electronic Services Application/Cancellation Form" separately. 如客戶已登記電子結單服務並希望更新已登記的電郵地址，請填寫本節。如欲新申請電子結單服務，請另外填寫並提交「電子服務申請/取消表格」。							
6. The new email address below shall override any email address registered previously. 以下新電郵地址會取代任何之前已登記的電郵地址。							
Email Address 電郵地址							

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Employment Status 職業狀況

Instructions 指示:

7. If you maintain any investment or securities account with us, you have to confirm that you are not currently employed by any corporation licensed by or institution registered with the Securities and Futures Commission of Hong Kong. Otherwise, in compliance with the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission, you are required to obtain a written consent from your employer before you can continue to enjoy our securities, derivatives and/or investment funds trading services. 如客戶於本行持有任何投資或證券戶口, 客戶須確認現時不是受僱於任何於香港證券及期貨事務監察委員會登記的持牌法團或註冊機構。否則, 根據《證券及期貨事務監察委員會持牌人或註冊人操守準則》, 客戶如欲繼續享用本行所提供的證券、衍生性金融產品及/或基金投資服務, 客戶須得到客戶僱主的書面同意。
8. You undertake to promptly notify us if you become or cease to be employed by any licensed corporation or registered institution. 客戶承諾如將受僱或不再受僱於任何持牌法團或註冊機構, 將立即通知本行。
9. Please also change your relevant business address and phone number in case of change in your Employment Status. 如客戶的職業狀況有所改變, 請客戶同時更改有關之商業地址及電話號碼。

Name of Employer 僱主名稱		Phone Number 電話號碼	
Nature of Business 商業性質		Job Title 工作職位	

Bank Information (To designate or change designated bank account) 銀行資料 (指定或更改已指定之銀行帳戶)

Instructions 指示:

10. If the bank account is maintained outside Hong Kong, please state the location and SWIFT code of the bank (if applicable). 如銀行帳戶於香港以外的銀行開立, 請提供銀行所在地區及銀行識別代碼(如適用)。
11. The beneficiary name provided below should be the same as your name. 以下提供之收款人名稱應與客戶名稱相同。

Currency 貨幣	HKD 港元	USD 美元	JPY 日圓	GBP 英鎊	EUR 歐羅	Others, please specify: 其它, 請指明:	
Beneficiary Name 收款人名稱				Beneficiary A/C No. 收款人帳戶號碼			
Bank Name 銀行名稱				Bank Identifier (e.g. SWIFT) 銀行識別代碼 (例如 SWIFT)			
Bank Address 銀行地址				IBAN 國際銀行帳戶號碼			

Other Changes 更改其它資料

Instructions 指示:

12. Please provide relevant supporting documents for other changes. 請提供更改其它資料的相關證明文件。

Client Confirmation 客戶確認

Authorized Signature(s) 客戶簽署

X

(All account holders of joint account must sign jointly 所有聯名客戶必需簽署)

Signatories Name(s)
簽署人姓名

S.V

FOR OFFICE USE ONLY 以下由本公司填寫

Prepared by FO: Name:	Securities	Documentation	Processed by OPP: Name:	Checked by OPA: Name:
	Stock Options	Attached		
	Futures	Checked		